



California Investment & Innovation Program (Cal IIP) Grant Application

Instructions:

- Applications must meet the eligibility requirements in Health and Safety Code section 44558, subdivision (f) upon submission.
- Application materials must be submitted electronically via email to caliip@treasurer.ca.gov.
- Applicants must submit all application materials **no later than 5:00 p.m. Pacific Daylight Time on November 1, 2023.**

Part I. Applicant Information

1. Legal Name of Community Development Financial Institution (CDFI):

2. Taxpayer ID Number/EIN:

3. Principal Office Street Address:

4. Contact Person Name:

5. Contact Person Title:

6. Contact Person Phone:

7. Contact Person Email Address:

8. Type of Institution:

- a. Federal Chartered Bank
- b. Small Business Investment Company
- c. State Chartered Bank
- d. Microbusiness Lender
- e. Savings Association



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- f. Financial Development Corporation
- g. Credit Union
- h. Federal Certified Not-for-Profit Community Development Financial Institution
- i. Other (please describe):

9. Date of Incorporation:

10. Financial Activities Start Date:

11. Total Asset Size:

12. Applicant's Fiscal Year:

Authorized Representatives

13. Name:

a. Job Title:

b. Email Address:

c. Phone:

d. Domiciled in California



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14. Name:

a. Job Title:

b. Email Address:

c. Phone:

d. Domiciled in California

15. Name:

a. Job Title:

b. Email Address:

c. Phone:

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16. Name:

a. Job Title:

b. Email Address:

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Part II. Grant Request

Please indicate the category of funds being requested.

- Small and emerging CDFI**, as defined in Health and Safety Code Section 44558, subdivision (l) and meet the minimum requirements, as defined in Health and Safety Code Section 44558, subdivision (f).
- Tier 1**: All eligible applicants that meet the minimum requirements, as defined in Health and Safety Code Section 44558, subdivision (f).
- Tier 2, option A**: All eligible applicants that meet the minimum requirements, as defined in Health and Safety Code Section 44558, subdivision (f), as well as have a minimum of 10 loans in the most recently completed fiscal year.
- Tier 2, option B**: All eligible applicants that meet the minimum requirements, as defined in Health and Safety Code Section 44558, subdivision (f), as well as have provided financing assistance in the state of California totaling \$10 million or more in the last three fiscal years.



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Part IV. Attachments

Please provide a copy of each of the following and mark each to indicate it has been included.

Attachment A: Current federal certification pursuant to Section 1805 of Title 12 of the Code of Federal Regulations, as required in California Health and Safety Code Section 44558, subdivision (f)(1)

Attachment B: Financial statements for the past three years

Attachment C: Loan portfolio

Part V. Certification

The authorized representative listed below, certifies that to the best of their knowledge, the information contained in this application and the accompanying supplemental materials are true and accurate.

Further, the authorized representative acknowledges that all materials submitted in this application will become the property of the State of California and will not be returned. In addition, all materials submitted will be considered a public record by the CPCFA and State Treasurer's Office and subject to disclosure pursuant to the California Public Records Act (Government Code Section 7920.000 et seq.).

Authorized Signature

Job Title

Printed Name

Date Signed